

**PART B - FEE(S) TRANSMITTAL**

*O I P E*  
Complete and send this form, together with applicable fee(s), to: **Mail Stop ISSUE FEE**

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SEP 17 2003  
PATENT &

**INSTRUCTIONS:** This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

1. CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

7590 06/18/2003

**BROBECK, PHLEGER & HARRISON LLP**

**12390 EL CAMINO REAL**

**SAN DIEGO, CA 92130**

**Sterne, Kessler, Goldstein & Fox P.L.L.C.  
1100 New York Avenue, N. W.  
Washington, D. C. 20005**

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**Certificate of Mailing or Transmission**

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Box Issue Fee address above, or being facsimile transmitted to the USPTO, on the date indicated below.

(Depositor's name)

(Signature)

(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/689,577	10/12/2000	Edward C. Edelman	153501-0091/P01986US	2635

**TITLE OF INVENTION: COMMAND AND CONTROL SYSTEM AND METHOD FOR CONTROLLING OPERATIONAL SEQUENCING OF MULTIPLE TURBOGENERATORS USING A SELECTED CONTROL MODE**

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$650	\$0	\$650	09/18/2003

EXAMINER	ART UNIT	CLASS-SUBCLASS
GONZALEZ, JULIO C	2834	290-052000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

**Sterne, Kessler, Goldstein & Fox P.L.L.C.**

2. \_\_\_\_\_

3. \_\_\_\_\_

- Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

**3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)**

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Capstone Turbine Corporation

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Chatsworth, California

Please check the appropriate assignee category or categories (will not be printed on the patent)  individual  corporation or other private group entity  government

4a. The following fee(s) are enclosed:

- Issue Fee  
 Publication Fee  
 Advance Order - # of Copies 10

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- A check in the amount of the fee(s) is enclosed.  
 Payment by credit card. Form PTO-2038 is attached.  
 The Commissioner is hereby authorized to charge the required fee(s), or credit any overpayment, to Deposit Account Number 19-0036 (enclose an extra copy of this form).

Commissioner for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

(Authorized Signature) *Virgil L. Beaston* (Date) 9/17/03  
Virgil L. Beaston Reg. No. 47,415

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09/23/2003 JADDD02 00000015 09689577

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**FEE TRANSMITTAL****for FY 2003**

SEP 17 2003

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27(a).**TOTAL AMOUNT OF PAYMENT (\$)** 680.00**Complete if Known**

Application Number	09/689,577
Filing Date	October 12, 2000
First Named Inventor	Edward C. Edelman
Examiner Name	Gonzalez, J.
Group Art Unit	2834
Attorney Docket No.	2066.0330001 (as amended)

**METHOD OF PAYMENT (check all that apply)**

- Check  Credit card  Money Order  Other\*\*  None  
 \*\* Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.

Deposit Account  
 Deposit Account Number 19-0036

Deposit Account Name: Sterne, Kessler, Goldstein &amp; Fox P.L.L.C.

**The Commissioner is authorized to: (check all that apply)**

- Charge fee(s) indicated below  Credit any over payments  
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**FEE CALCULATION (continued)****3. ADDITIONAL FEES****Large Entity** **Small Entity**

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1502	50	2052	25	Surcharge-late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	650.00
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) Ten (10) Advance Patent Copies

30.00

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SUBTOTAL (3) (\$)680.00

\*\*or number previously paid, if greater; For Reissue, see above

**SUBMITTED BY****Complete (if applicable)**

Name (Print/Type)	Virgil L. Beaston	Registration No. (Attorney/Agent)	47,415	Telephone	202-371-2600
Signature				Date	9/17/03

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